Programme

• Open Access & Open Science in Institutions

From Open Access Dream to Administrative Nightmare
The ever-increasing burden of open access policy on libraries and researchers

Dr Elizabeth Gadd
- Research Policy Manager, Loughborough University

Yvonne Boddie
- Head of Scholarly Communications, University of Warwick

This talk will present the findings of a twelve-year study of publisher Open Access policy changes (2004-2015). It will outline the considerable growth in policy demands relating to what, where, when and how academics might self-archive their papers against a backdrop of parallel growth in paid (gold) OA. The impact that this has had on researchers and the libraries that support them will be explored through examples from the University of Warwick. Practical considerations of implementing OA policies will be highlighted with reference to data from three surveys over six years (2011-2017) on the changing attitudes of researchers to Open Access.

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Overview

• High level overview of the increasingly complex Green OA policy environment - Lizzie
• Case study of the actual impact of this on University OA teams - Yvonne
Under pressure

“In the past we have had very negative reactions toward two-person presentations from our delegates, as they frequently...are uncoordinated, contradictory, self-indulgent, confusing, duplicative, and run over time.”
Outline of study

• Used RoMEO data to follow the original 107 publishers on the database in 2004 and see how their policies developed over time
• Used the Internet Archive (Wayback Machine) to collect data
• Analysed manually for restrictions around what, where, when and how self-archiving was permitted and the growth of Gold OA
Publishers allowing some form of self-archiving
Green OA policy restrictions 2004-2015

The diagram shows the number of restrictions and conditions from 2004 to 2015. The x-axis represents the years, and the y-axis shows the number of restrictions and conditions. The bars indicate the number of institutions offering open access options, with different colors representing restrictions on where, when, and how.

The chart also includes a line graph showing the trend in publishers offering paid open access options from 2004 to 2015.
Growth in embargo periods

Diagram showing the growth in embargo periods from 2004 to 2015. The x-axis represents the years, and the y-axis represents the number of embargos. The diagram includes bars for different embargo periods: unspecified, 36 months, 24 months, 18 months, 12 months, 6 months, and 3 months, with a line indicating the trend over time.
Growth in allowable deposit locations
Growth in ‘how’ restrictions

- Acknowledge the publisher
- Set phrase required
- Link to publisher version
- Remove preprint on publication of post-print
- Fee is payable
- Permission granted if research is funded
- Publisher to be notified of location of pre/postprint
- Individual journal policies vary
- Re-use requirements specified
Seamless, co-ordinated and not at all self-indulgent handover to Yvonne 😊
The Warwick Context

• Currently boasts (2017 figures):
  – 2,433 Academic/Research/Teaching Staff
  – Over 2,200 Research Postgraduate students
• Subject cover across the board
  – 30 departments and over 70 research centres in 4 faculties
  – DTC/CDTs, Institute of Advanced Study
• In the 2015/16 year
  – Research grants and contracts = £117.1 million
  – HEFCE grant for research = £34.9 million
Open Access at Warwick

• Support both the ‘Gold’ and ‘Green’ routes
• WRAP launched in 2008
• Publications service launched in 2011
• RCUK block grant awarded from 2013 to support ‘gold’
• University of Warwick Open Access policy launched 1 April 2013
OPEN ACCESS PUBLISHING
(There are 2 routes to OA publishing: Gold and Green)

GOLD
(Final print version)
- Author/ institution usually pay APC to publisher
- Free and immediate access

GREEN
(Accepted version)
- No fees paid to publisher
- Papers are often available after an embargo period e.g. WRAP - Warwick Research Archive Portal
The Finch Report on open access: it’s complicated

http://blogs.lse.ac.uk/impactofsocialsciences/2012/06/21/finch-report-open-access/
“Attitude is a little thing that makes a big difference.”

- Winston Churchill
“I am in favour of attribution rather than ownership and that intellectual property of all kinds should be a community resource.”

“We know we need to be doing it for the REF but we still have colleagues not engaging with WRAP.”

“How do you set the boundary between 're-use' and plagiarism?”

“I would just prefer to upload my accepted version on the arXiv web pages and on my own/group web pages. I think this whole business of paying journals is a gross waste of money.”

“I think I just need someone to sit me down and make me hunt through the computer for the files needed to put into wrap... It's a task I know I ought to do but never get round to”
Is this compliant?
Example – wrap.warwick.ac.uk/99023

• Journal – ‘Journal of the London Mathematical Society’

• Date of Acceptance – 16 February 2018

• Date of AAM Deposit – 19 February 2018

• Embargo period – 0 Months

• Unit of Assessment – Panel B

• Funders – N/A

• Compliant? Yes, for HEFCE
Example – wrap.warwick.ac.uk/99203

- **Journal** – ‘Journal of Common Market Studies’
- **Date of Acceptance** – 12 December 2017
- **Gold or Green** – Green (but gold option available)
- **Date of AAM Deposit** – 22 February 2018
- **Embargo period** – 24 Months
- **License issues for AAM?** - No
- **Unit of Assessment** – Panel C
- **Funders** – ESRC

- **Compliant?** Yes, for both HEFCE and ESRC
Example – wrap.warwick.ac.uk/89937

• **Journal** – ‘Nature Communications’
• **Date of Acceptance** – 23 May 2017
• **Gold or Green** – Gold
• **Date of Deposit** – 10 July 2017
• **License for VoR?** – CC-BY
• **Unit of Assessment** – Panel A
• **HEFCE exception** – ‘Published as ‘gold’ open access’
• **PMC Deposit** – Yes (PMC5508129)
• **Funders** – CRUK, MRC, Wellcome, (and others)

• **Compliant?** **Yes**, for HEFCE, RCUK and COAF
The Accepted version conundrum

• Also known as post-print or author’s accepted manuscript
• Version *after* all peer review changes but *before* any publishers copyediting

• *But is it this easy?*
1. Author requests open access
   Request reviewed

2. Accepted or rejected
   If accepted - cost code created

3. Author requests invoice
   Invoice paid by department
How much is ‘too much’?

- Time?
- Cost?
- Information?
- Chasing?
Welcome to the University of Warwick's Journal Hosting Service.

We use OJS (Open Journal Systems) to provide a space for University of Warwick staff, students and research groups to publish Open Access electronic journals.

To find out more about the service please contact us.

**Exchanges: the Warwick Research Journal**

*Exchanges* is a peer-reviewed, open access, online journal dedicated to the publication of high-quality work by researchers in all disciplines, including early career researchers, or those combining research with academic teaching or other professional employment. The journal welcomes articles from all academic areas, including interdisciplinary research and co-authored papers, in order to encourage intellectual exchange and debate across research communities.

The journal is edited by early career researchers at the University of Warwick and published bi-annually. It provides both editors and authors with a readily accessible and supportive environment in which to develop academic writing and publishing skills of the highest order.

View Journal | Current Issue

**Feminist Dissent**

Feminist Dissent brings together innovative and critical insights to enhance our understanding of the relationship between gender, fundamentalism and related socio-political issues.

View Journal | Current Issue | Register
The ‘Cat’s Eye View’

• Promote the use of OA materials in teaching
• OA resources are listed in our catalogue
• Direct support for OA initiatives
• Link OA promotion to other work:
  – REF preparations
  – PhD Supervisors Training
The Future

NEXT EXIT

What might be next?
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